

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. Bloom, et al.                      Art Unit : 3693  
Serial No. : 10/001,900                                      Examiner : Sara M. Chandler  
Filed : November 14, 2001                                  Conf. No. : 8995  
Title : BALANCING ARBITRAGABLE TRACKING SECURITIES

**Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INTERVIEW SUMMARY

The undersigned representative thanks the Examiner for the courtesy of the telephonic interview held on February 22, 2010.

The substance of the interview included a discussion of the claims as pending in view of the decision of the Board of Patent Appeals and Interferences. The examiner agreed that the claims distinguished over the art currently of record and were proper under 35 U.S.C. 112. The examiner indicated that pending approval from a primary examiner, submission of a terminal disclaimer per the Board's decision, and subject to a final search that the case would be in condition for allowance.

Respectfully submitted,

Date: February 24, 2010

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I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: February 24, 2010